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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Patrick Hearing *et al.*
Serial No.: 09/782,378
Filed: 02/12/01
Entitled: **HYBRID ADENOVIRUS/ADENO-ASSOCIATED VIRUS VECTORS
AND METHODS OF USE THEREOF**

Group No.:
Examiner:

TRANSMITTAL

Assistant Commissioner for Patents
Washington, D.C. 20231

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Dated: <u>May 17, 2001</u>	By: <u>Marilyn Moy</u> Marilyn Moy

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Transmitted herewith are:

1. Sequence Listing on computer readable diskette
2. Certificate Re: Sequence Listing
3. Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures.

No additional fee is required.

Please charge any additional fees, including any fees necessary for extensions of time, or credit overpayment to Deposit Account No. 08-1290. An originally executed duplicate of this transmittal is enclosed for this purpose.

Dated: 17 May 2001

By: Kamrin T. MacKnight
Kamrin T. MacKnight
Registration No. 38,230

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Patrick Hearing *et al.*

Serial No.: not yet assigned

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METHODS OF USE THEREOF**

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CERTIFICATE RE: SEQUENCE LISTING

Assistant Commissioner for Patents
Washington, D.C. 20231

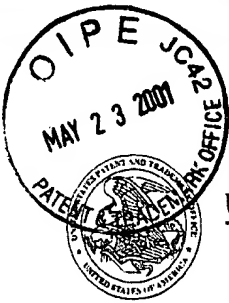
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I hereby state that the enclosed Sequence Listing submitted on a computer-readable diskette is identical to the paper copy submitted on February 12, 2001. The contents of the paper and computer readable copies are the same and include no new matter.

Dated: 17 May 2001

By: Kamrin T. MacKnight
Kamrin T. MacKnight
Registration No. 38,230



UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/782,378	02/12/2001	Patrick Hearing	STONYB-04970

CONFIRMATION NO. 9755

FORMALITIES LETTER



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Peter G. Carroll
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NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

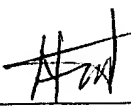
Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

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